

Ascensus SEP and SIMPLE Plans

Because delivering a quality plan shouldn't be a full-time job

OUR SEP AND SIMPLE IRA PLAN PRODUCT COMBINES THE EFFICIENCY OF A PAPERLESS PLAN SETUP PROCESS WITH THE QUALITY SERVICE YOU DESERVE.

SEP and SIMPLE IRA plans are an easy way for employers to save for themselves and their employees. Ascensus offers a full-service SEP and SIMPLE IRA program with flexible multi-fund options, online processing capabilities including plan setup and payroll processing, common remitter services, and our team's vast technical compliance knowledge.

The best part: We offer both commission-based level-compensation and fee-based products* that result in minimal administrative burden for you.

KEY PRODUCT FEATURES:



Dedicated IRA Service Team

- Handles all aspects of the financial advisor, employer, and employee relationships
- Supports all payroll, custodial, payment, and tax reporting
- Maintains plan document and keeps it in sync with recordkeeping platform



IRA Platform

- Uses existing Ascensus recordkeeping platform
- Web-based employer funding options allow for automatic ACH contributions, including compatibility with third-party payroll companies
- Plans included in book-of-business view on Ascensus financial advisor website
- Paperless plan setup, employee enrollment, and employer/employee signatures



Pricing

- No employer or employee setup fees, no ongoing administrative fees for employers
- Employee may incur asset-based administrative fees, depending on fund selection and account balance
- Employee quarterly administrative fees are tiered and charged as follows:
 - \$10 per account fee for assets of < \$10,000
 - \$5 per account fee for assets of \$10,000 to < \$25,000
 - \$2.50 per account fee for assets of \$25,000 to < \$50,000
 - No quarterly account fees for assets of \$50,000 or more; administrative fee remains

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*Your home office may limit availability to either of these options.

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