

# Ascensus SEP and SIMPLE Plans



Because delivering a quality plan shouldn't be a full-time job

**Our SEP and SIMPLE IRA plan product leverages the efficiency of a paperless plan set up process with the quality service you deserve.**

With the Department of Labor's new fiduciary rule and increased attention on retirement plans for small businesses, now is the time to take advantage of Ascensus' expanded SEP and SIMPLE IRA plan program. We offer a full-service SEP and SIMPLE IRA program that combines: flexible multi-fund options, online processing capabilities including plan setup and payroll processing, common remitter services, and our vast technical compliance knowledge.

The best part: we offer both commission-based level-compensation and fee-based products\* that result in minimal administrative burden for you.

## Key product features:



- Dedicated IRA service team handles all aspects of the financial professional, employer, and participant relationships
- Team supports all payroll, custodial, payment, and tax reporting
- Maintain plan document and keep in sync with recordkeeping platform



- Uses existing Ascensus recordkeeping platform
- Web-based employer funding options allow for automatic ACH contributions, including compatibility with third-party payroll companies
- Plans included in book of business view on Ascensus financial professional website
- Digital plan setup, employee enrollment, and employer/employee signatures



- No employer or employee set-up fees
- No employer ongoing administrative fees
- Employee may incur asset-based and administrative fees, depending on fund selection and account balance
- Employee quarterly administrative fees are tiered and charged as follows:
  - \$10.00 per account fee for assets of < \$10,000
  - \$5.00 per account fee for assets of \$10,000 to < \$25,000
  - \$2.50 per account fee for assets of \$25,000 to < \$50,000
  - No quarterly account fees for assets of \$50,000 or more; administrative fee remains

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\*Your home office may limit availability to either of these options.

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